

Herefordshire Council 2016-17 Audit Plan Progress

Directorate/Service	Audit Type	Audit Name	Quarter	Status	Opinion	No. of recommendations (priority 1-5)					
						Total	1	2	3	4	5
*Finance	Grant Certification	Heat Network Delivery Units	April 2016	Removed	–	–	–	–	–	–	–
*Finance	Grant Certification	Redundant Building Grant Scheme - Round 4	April 2016	Completed	Grant Certification	–	–	–	–	–	–
*Adult care services	Operational	Brokerage	April 2016	Completed	Partial	7	0	0	3	4	0
*Adult care services	Operational	Client Finances	April 2016	Completed	Partial	10	0	0	10	0	0
*Finance	Operational	Car Parking Income/Enforcement	April 2016	Completed	Partial	19	0	0	16	2	0
*Finance	Operational	Cash Handling & Collection	April 2016	Completed	Reasonable	9	0	0	8	1	0
*Human resources	Operational	Recruitment - Contracts	April 2016	Completed	Partial	10	0	0	10	0	0
*Information and communication technology	Operational	FWI EDRMS/Mosaic Upgrade - Implementation & Assurance -	April 2016	Completed	Advisory	–	–	–	–	–	–
*Education and skills	School	SFVS (School Financial Value Standard) Governance - Bridstow Primary School	April 2016	Completed	Partial	9	0	0	8	1	0
*Education and skills	School	SFVS (School Financial Value Standard) Governance - Pembridge CE Primary School	April 2016	Completed	Reasonable	5	0	0	4	1	0
*Education and skills	School	SFVS (School Financial Value Standard) Governance - Weobley Primary School	April 2016	Completed	Reasonable	9	0	0	9	0	0
*Education and skills	School	SFVS (School Financial Value Standard) Governance - Ameley Primary School	April 2016	Completed	Reasonable	0	0	0	7	0	0
*Education and skills	School	SFVS (School Financial Value Standard) Governance - Peterchurch Primary School	April 2016	Completed	Partial	8	0	0	7	1	0
*Education and skills	School	SFVS (School Financial Value Standard) Governance - St Marys RC High School	April 2016	Completed	Partial	14	0	0	12	2	0
*Finance	Special Review	Link Road Scheme (LEP & HC) (additional work days from Contingency )	April 2016	Completed	Non-Opinion	1	0	0	1	0	0
*Finance	Special Review	Special Review	April 2016	Completed	Non-Opinion	–	–	–	–	–	–
*Information management	Follow Up	Modern Records follow up	July 2016	Completed	Follow Up	–	–	–	–	–	–
*Human resources	Follow Up	Use of Agency	July 2016	Completed	Follow Up	–	–	–	–	–	–
*Finance	Grant Certification	Local Transport Block Funding	July 2016	Completed	Grant Certification	–	–	–	–	–	–
*Adult care services	Operational	Residential & Nursing Care	July 2016	Removed	–	–	–	–	–	–	–
*Adult care services	Operational	Deferred Payments	July 2016	Discussion Document							
*Adult care services	Operational	Pre Paid Cards (Direct Payments)	July 2016	Completed	Partial	9	0	0	5	2	2
*Adult care services	Operational	Public Health Contracts	July 2016	Completed	Reasonable	7	0	0	6	1	0
*Children and families services	Operational	Children Missing Education (Safeguarding)	July 2016	Completed	Partial	7	0	0	5	1	1

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*Consumer affairs	Operational	Food Safety	July 2016	Completed	Reasonable	7	0	0	7	0	0
*Finance	Operational	Property Services - Accounts Payable (additional work days from Contingency )	July 2016	Completed	Advisory	4	0	0	4	0	0
*Information and communication technology	Operational	Protection from Malicious Code	July 2016	Completed	Reasonable	4	0	0	4	0	0
*Management	Operational	Performance Management Framework - Performance Indicators Corporate	July 2016	Completed	Reasonable	6	0	0	6	0	0
*Planning and building control	Operational	S106 Agreements	July 2016	Completed	Reasonable	7	0	0	7	0	0
*Transport and infrastructure	Operational	Concessionary Fares	July 2016	Completed	Partial	1	0	0	0	1	0
*Consumer affairs	Follow Up	Licensing	October 2016	Completed	Follow Up	-	-	-	-	-	-
*Finance	Follow Up	Treasury Management	October 2016	Completed	Follow up	-	-	-	-	-	-
*Information and communication technology	Follow Up	Changes to Role & Exit - ICT Access Controls	October 2016	Completed	Follow Up	-	-	-	-	-	-
*Transport and infrastructure	Follow Up	Home to School Transport	October 2016	In Progress	Follow Up						
*Finance	Follow Up	NNDR - follow up	October 2016	Completed	Follow Up	-	-	-	-	-	-
*Finance	Governance, Fraud & Corruption	NFI	October 2016	Completed	Advisory	-	-	-	-	-	-
*Finance	Grant Certification	Troubled Families	October 2016	Completed	Substantial	3	0	0	3	0	0
*Finance	Key Control	Accounts Payable	October 2016	Completed	Reasonable	5	0	0	5	0	0
*Finance	Key Control	Accounts Receivable	October 2016	Draft Report	Partial	2	0	0	1	1	0
*Finance	Key Control	Capital Accounting	October 2016	Completed	Reasonable	1	0	0	1	0	0
*Finance	Key Control	Main Accounting	October 2016	Completed	Reasonable	5	0	0	5	0	0
*Finance	Key Control	Council Tax	October 2016	Completed	Reasonable	4	0	0	4	0	0
*Finance	Key Control	Housing & Council Tax Benefits	October 2016	Completed	Partial	7	0	0	7	0	0
*Finance	Key Control	Payroll	October 2016	Completed	Partial	7	0	0	2	5	0
*Finance	Key Control	Pensions - Auto enrolment	October 2016	Completed	Reasonable	2	0	0	2	0	0
Procurement	Operational	Catering Contract (additional work days from Contingency )	October 2016	Completed	Advisory	-	-	-	-	-	-
*Information and communication technology	Operational	Public Services Network Submission Assurance	October 2016	Completed	Substantial	1	0	0	1	0	0
*Adult care services	Follow Up	Financial Assessments	January 2017	In Progress	Follow Up						
Information and Communication technology	Follow up	Mobile Phone Usage and Strategy	January 2017	Completed	Follow Up	-	-	-	-	-	-
*Adult care services	Follow Up	Midland Heart	January 2017	Completed	Advisory						

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Information and Communication technology	Follow Up	PCI Data Security Standard Compliance	January 2017	Completed	Follow Up	-	-	-	-	-	-
*Adult care services	Follow up	Direct Payments (Adults)	January 2017	Completed	Follow Up	-	-	-	-	-	-
*Finance	Grant Certification	Troubled Families	January 2017	Completed	Grant Certification	-	-	-	-	-	-
*Adult care services	Operational	Better Care Fund	January 2017	In Progress							
*Adult care services	Operational	Contracts & Contract Management	January 2017	Removed	-	-	-	-	-	-	-
*Adult care services	Operational	Hospital Discharges	January 2017	Removed	-	-	-	-	-	-	-
*Adult care services	Operational	Telecare - Living Aids & Equipment	January 2017	Removed	-	-	-	-	-	-	-
*Adult care services	Operational	Transitions	January 2017	Removed	-	-	-	-	-	-	-
*Adult care services	Operational	Complex Care (LD)	January 2017	Removed	-	-	-	-	-	-	-
*Children and families services	Operational	Looked After Children	January 2017	Completed	Partial						
*Children and families services	Operational	Early Years Funding	January 2017	Completed	Reasonable						
*Children and families services	Operational	Direct Payment/Personal Budgets (Children's) - HC	January 2017	In Progress							
*Information and communication technology	Operational	Business Continuity/Disaster Recovery Processes - Data Centre	January 2017	Discussion Document							
*Procurement	Operational	Nottingham Rehab Contract Review	January 2017	Removed	-	-	-	-	-	-	-
*Adult care services	Operational	Continuing Healthcare Funding	January 2017	Discussion Document							
*Adult care services	Operational	Integrated Short Term Support and Care Pathway	January 2017	In Progress							